



## REGULATORY COMPLIANCE & REPORTING SUPPORT FOR PRIVATE COMPANIES AND FEDERAL AGENCIES

### A DATA-DRIVEN APPROACH TO REGULATORY COMPLIANCE & REPORTING SUPPORT EXCELLENCE.

Private organizations and federal agencies of all sizes rely on ProSidian as their trusted advisor to transform governance, risk and compliance (GRC) programs into a competitive advantage. With access to APQC's Process Classification Framework (PCF), we allow organizations to speak a common language about functions, processes, and activities.

Our Regulatory Compliance & Reporting PCF outlines the key processes practiced by most organizations, categorizes them, and aligns them according to a hierarchical numbering system.

ProSidian is your expert for evidence-based, unbiased research and powerful tools to reach organizational goals that create sustainable advantage. We create a structured approach to strategic solutions and help private organizations and federal agencies to:

- Align Systems and processes across the organization,
- Benchmark internally & with other organizations,
- Align Systems and processes across the organization,
- Organize improvement efforts across business units,
- Manage enterprise content and knowledge.

Our Engagement Teams help develop organizational strategy and deliver greater value through streamlined Regulatory Compliance operations through our expertise, insights and counsel. Through ProSidian, you gain access to:

- Research and data for a fact-based approach,
- Compliance benchmarks and best practices,
- Effective outsourced benchmarking activities, and
- Leverageable thought leadership to enhance organization knowledge and development.

### SIMPLIFY GOVERNANCE, RISK & COMPLIANCE

The world of compliance is changing, but what if you create policies that far exceed the minimum requirements established by regulations – without breaking the bank? Leading organizations understand that they need a compliance program to keep up with stricter laws and regulations. But in today's business climate, a good compliance program can do more than reduce the risk of financial or legal troubles—it can be a competitive advantage.

### PINPOINT AREAS FOR ORGANIZATIONAL IMPROVEMENT

ProSidian uses robust tools and solution sets on engagements that activates metrics to identify gaps, set baselines, and create recommendations. Our data-enhanced approach allows access to validated, relevant metrics and best practices relating to labor, costs, cycle times, and more through:

- *Compliance Standards Benchmarking:* We help clients with a detailed assessment of competitive positioning and develop a road map for improvement. ProSidian collects and analyzes data to create a robust benchmarking report to identify, quantify, and close performance gaps.

### EFFECTIVE TRAINING AND BETTER POLICY MANAGEMENT

Policies are the cornerstone of your compliance efforts because they outline how to meet regulatory obligations. In order to achieve better compliance, employees have to understand the rules they're expected to follow— as well as the regulatory and ethical foundations of those rules. Policy training is a critical piece of effective compliance programs.

New employees typically get a code of conduct when they join the organization and might take a training course once a year— but that's the extent of the compliance conversation. The elements of your compliance program are not separate activities, but parts of the same continuum.

Each refinement or improvement you make to one area, such as policy management, should have an impact on other parts of your compliance program, such as training programs. Make the testing engaging and relatable to the real world, require frequent updates, and maintain a central database for training programs.

### CLOSING THE LOOP

Emphasize that the elements of your compliance program are not separate activities, but parts of the same holistic approach. Communicate how each refinement or improvement made to one area flow through and affects other levels of the organization. Use this opportunity to strengthen corporate integration and training.

ProSidian provides access to a continuously updated Knowledge Base, a database of case studies, frameworks, and maturity models. We analyze organizational data from private organizations and federal agencies of all sizes with insight from best in class Regulatory Compliance & Reporting Function Process Classification Frameworks (PCF) to create tangible results.

### ABOUT PROSIDIAN CONSULTING

ProSidian is a management and operations consulting firm with a reputation for its strong national practice spanning six solution areas including Risk Management, Energy & Sustainability, Compliance, Business Process, IT Effectiveness, and Talent Management.

We help clients improve their operations. Launched by former Big 4 Management Consultants; our multidisciplinary teams bring together the talents of nearly 190 professionals nationally to complete a wide variety of engagements for private organizations and government agencies of all sizes.

Our Services are deployed across the enterprise, target drivers of economic profit (growth, margin and efficiency), and are aligned at the intersections of assets, processes, policies and people delivering value.

[www.ProSidian.com](http://www.ProSidian.com)

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## REGULATORY COMPLIANCE & REPORTING

Regulatory compliance and reporting needs to be viewed as a natural extension of the governance duties shouldered by top management and corporate boards.

Moreover, only good governance can ensure that compliance is aligned with the organization's business objectives and risk management strategies — and is thereby adding real value (and not just cost) to the organization.

Ultimately, the goal is to ensure that the spirit of compliance — as well as the letter of the law — is embraced in every corner of the enterprise.

## IF THIS IS YOUR SITUATION

- You don't know whether your organization is in compliance with regulatory rules.
- Your organization's compliance costs are out of control.
- You need to plan for staff training in light of new compliance issues.
- Proposed regulations might destroy your business or lead to a loss in competitive advantage.
- You are concerned about the reputational risk from failing to comply with regulations.
- You want to confirm that your organization's sales force is complying with the sales and marketing code of conduct.

## SUPPORT, ADVICE, AND THOUGHT LEADERSHIP

For all organizations engaged in activities that come under the control of a regulator, ProSidian Consulting provides Support, Advice, and Thought Leadership to help you manage your regulatory risks.

In an ever-changing regulatory environment, we help ensure that you identify, manage, and control any existing and future regulatory risks. A proactive rather than a monitoring approach to regulation is now a full time strategic necessity.

Our teams consist of experienced regulatory risk specialists, including ex-regulators, who not only know the rules, but have also implemented and assessed compliance against them.

Our people can help you at the strategic level — by maximizing any competitive advantages from regulation through to the operational level and by minimizing the costs and disruptions to your business, thereby freeing up management time in the process.

For more information about our Regulatory Compliance Services and other areas, visit [www.ProSidian.com](http://www.ProSidian.com) or contact us at: solutions @ ProSidian.com or +1-800-597-6904.

## HOW PROSIDIAN CAN HELP YOU

- Independent Thought Leadership on regulatory compliance and benchmarking against industry peers
- Assessment of your current and future regulatory risk profile and impact of new regulations
- Developing and implementing a risk-based compliance control framework and monitoring program
- Regulatory Compliance Policy Enhancements & GRC Reporting
- Effectiveness reviews of current compliance programs
- Compliance Standards Benchmarking
- Independent Private Sector Audits for Compliance
- Strengthening governance and regulatory compliance
- Evaluation of new regulations on your business model
- Advice and assistance meeting regulatory standards

## GET REGULATORY COMPLIANCE & REPORTING SUPPORT STARTED TODAY

There are no shortages of issues that keep business leaders awake at night. Whether it's finding sustainability and addressing competitive forces or identifying positions between strategic scope and strategic strength — *No Doubt about It, The Organization With The Best Process Wins*. But perhaps no other topic creates the degree of concern — both short and long term — than a structured approach to Regulatory Compliance Management.

**INVEST IN REGULATORY COMPLIANCE:** Business leaders and academics agree — only by having the right people at the right positions can your organization reach its potential. This means investing in Regulatory Compliance management to empower your people to make the best decisions and drive innovation while maintaining compliance, quality, and performance.

**EMPOWER REGULATORY COMPLIANCE:** ProSidian helps clients stand out and illustrate to employees, partners, customers and the general public that compliance is an outgrowth of the organizations fundamental ethics and values. We deliver measurable results and create a positive return on investment in human capital using frameworks derived from leading research and best practices.

**DELIVER REGULATORY COMPLIANCE & REPORTING EXCELLENCE:** The challenges create an opportunity: ProSidian delivers Compliance Solutions through powerful tools and experienced experts. Whether it's finding sustainability and addressing competitive forces or identifying positions between strategic scope and strategic strength — our approach to Governance, Risk and Compliance (GRC) treat values, ethics and compliance as part of one continuum.

**OUR SOLUTIONS INCLUDE:** Risk Management | Energy & Sustainability | Compliance | Business Process | IT Effectiveness | Talent Management

